

The Hong Kong Chartered Governance Institute Enhanced Continuing Professional Development (ECPD) Training

Technology Series: Hybrid General Meetings – What Governance Professional Need to Prepare

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Speaker:

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Ms Catharine Wong is a Managing Director of Head of Share Registry & Issuer Services of Tricor Services Limited. She heads Share Registry and Issuer Services. Prior to joining Tricor, Catharine was the Head of Depository and Nominee Services in HKEX, responsible for overseeing the daily operation and business development of all the depository, IPO and corporate action processes in HKEX. Highlights include implementation of the China Stock Connect, re-engineering key processes in the Central Clearing and Settlement System and leading the discussion on the Uncertificated Securities Market (USM) operation model.

Catharine has over 20 years of experience and has held various operations, client relations and business management positions in major international banks. She holds a Bachelor of Commerce from The University of New South Wales, Australia, a Master's degree in Applied Finance from Macquarie University in Sydney and is a Certified Practising Accountant (CPA).

Catharine is currently the Executive Committee Member of the Federation of Share Registrars Limited (FSR) and a member of USM Working Group led by the Joint Working Group, including Securities and Futures Commission, HKEX and FSR.