

# The Hong Kong Chartered Governance Institute Enhanced Continuing Professional Development (ECPD) Training

## 3rd AML/CFT Conference – AML/CFT Regulations, Topical Issues, and Practical Sharing

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**Speaker:**

**Mr Ivan So**

**Mr Ivan So** is the Manager of Risk Advisory Services at BDO. Leveraging on his 8 years of professional experience in managing various assurance and advisory engagements, he is responsible for providing AML/CFT advisory, regulatory compliance review, risk assessment and corporate governance review services for financial services and other clients of the firm.

His clientele covers different sectors including professional practices, banking, securities dealing and advisory, asset management, online payment gateway, money service operator and stored value facility in Hong Kong, PRC, Singapore and other Asian countries/jurisdictions.

Ivan has an in-depth understanding of AML/CFT, compliance and internal control best practices. In particular, he was in charge in projects on reviewing AML/ CFT process of TCSPs, accounting firms, banks, securities firms, money service operators and money lenders. He was a trainer for senior management of the financial services clients on compliance and AML/ CFT best practices.

Ivan is a member of Hong Kong Institute of Certified Public Accountants and he is a qualified AML professional.