

# **The Hong Kong Chartered Governance Institute Enhanced Continuing Professional Development (ECPD) Training**

## **Director Training – A Focus on INEDs (Session Two - Tackling Current Governance and Regulatory Issues)**

**17 February 2023**

**Speaker:**

**Mr Joe Fan**

**Mr Joe Fan**, Vice President, Listed Issuer Regulation at Hong Kong Exchanges and Clearing Limited (HKEX).

Mr Fan is currently leading the teams to monitor the Listing Rules compliance of portfolios of HK-listed companies. He served a number of functions in the department, including policy development, market education and knowledge training.

During 2019 to March 2022, Mr Fan served as Deputy Head of International Issuer Service team, responsible on IPO market development and issuer marketing specializing in emerging businesses (including Southeast Asia markets and Green and Sustainable Finance) and served as a member of Hong Kong Green and Sustainable Finance Cross-Agency Steering Group, when he was on secondment to the Markets Division.

Mr Fan worked in a global accounting firm and an international risk consulting firm before joining HKEX in 2007.

Mr Fan holds a Bachelor's degree in Business Administration from the University of Hong Kong, and he is a certified public accountant, certified internal auditor and certified ESG analyst.