

The Hong Kong Chartered Governance Institute Enhanced Continuing Professional Development (ECPD) Training

Virtual Asset Trading Platforms - Overview of the SFC's Rules & Regulations

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Speaker:

Ms Elizabeth Wong

Ms Elizabeth Wong is a Director of Licensing and Head of Fintech unit of the Hong Kong Securities and Futures Commission.

Her work involves the formulation of the Commission's policy on fintech matters and leading and supervising the licensing application approval process for virtual asset trading platforms, robo-advisers, virtual asset fund managers and traditional securities and asset management firms. Prior to taking up this role, Ms Wong worked in the Investment Products Division and the Intermediaries Supervision Department and played a key role in Commission-wide policy initiatives including developing and enhancing Hong Kong as an international asset management centre and introducing guidelines for regulating the sale of investment products through online distribution and advisory platforms.

Ms Wong practised as a banking and finance lawyer in Hong Kong prior to joining the Commission.