

The Hong Kong Chartered Governance Institute Enhanced Continuing Professional Development (ECPD) Training

4th AML/CFT Conference – AML/CFT Regulations, Topical Issues, and Practical Sharing

24 November 2023

Speaker:

Ms Amanda Diep

Ms Amanda Diep is the Regional Compliance Director, North Asia for Vistra and is the designated CO/MLRO for Vistra TCSPs licensees. Prior to joining Vistra in November 2021, Amanda has had over 15 years of financial services experience specialising in financial crime compliance, operational risk and internal audit for major global institutions. Lines of businesses covered include TCSPs, trusts, asset management, global banking and markets, commercial banking and private wealth management.

Amanda has developed and implemented robust compliance programs catered for different organisational sizes, business activities, and risk appetites. This included compliance governance frameworks; enterprise-wide risk assessments for AML and sanctions; AML policies and procedures; transaction monitoring and compliance testing; regulatory technology solutions.

Amanda has also regularly participated in regulatory consultations and industry feedback forums for AML and financial crime compliance.

Amanda is a Certified Anti-Money Laundering Specialist (CAMS) and a Certified Member of the Association of Certified Anti-Money Laundering Specialists (ACAMS). She holds two degrees, Bachelor of Commerce and Bachelor of Arts in Law and Society, from The University of Calgary.

Amanda is fluent in spoken and written English. Conversational in Cantonese and Putonghua.

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Ms Amanda Diep (Cont'd)

Industry Experience:

- Trust and Company Formation Services
- Trust, Asset Management
- Corporate & Investment Banking
- Global Markets
- Commercial Banking
- Private Banking and Wealth Management

Skill:

- Policy & Governance
- Compliance Registers
- Enterprise AML and Sanctions Risk Assessments
- KYC, CDD and EDD
- Transaction Monitoring, Investigations and Sanctions
- Compliance Testing
- Compliance Training
- Anti-Bribery & Corruption
- FATCA/CRS