

# The Hong Kong Chartered Governance Institute Enhanced Continuing Professional Development (ECPD) Training

## 4th AML/CFT Conference – AML/CFT Regulations, Topical Issues, and Practical Sharing

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Speaker:

**Ms Nicky Kwok**

**Ms Nicky Kwok** is an Assistant Manager of risk advisory services of the BDO Limited. Nicky has experience in both the financial and banking sectors especially in internal control, risk assessment, and compliance reviews. She assists in developing and improving policies and procedures for financial service client and monitor the engagement process, including planning, staffing, project execution, and dealing with clients. Her background in Accounting, Banking, and Law, providing knowledge and experience across business processes, finance, compliance, and operations.

With experience in AML and regulatory compliance, Nicky is responsible for advising BDO's clients on related matters, including but not limited to reviewing and formulating recommendations for AML processes and controls in compliance with regulatory requirements.

### **Qualifications and professional affiliations**

- Master of Global Business
- Bachelor of Laws
- Bachelor of Accounting
- Associate Anti-Money Laundering Professional (AAMLPP)