

THE HONG KONG INSTITUTE OF CHARTERED SECRETARIES  
香港特許秘書公會

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INSTITUTE NEWS



CHARTERED SECRETARIES 特許秘書

**ACRU 2021**  
Date: Friday 11 June 2021  
Time: 9.15am–5.45pm

Registration Deadline on 5 June 2021

**22<sup>nd</sup> ACRU**  
ANNUAL CORPORATE AND REGULATORY UPDATE

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For enquiries, please call the Professional Development Section on 2881 6177 or email: [cpd@hkics.org.hk](mailto:cpd@hkics.org.hk).

- HKICS 22nd Annual Corporate and Regulatory Update (Online Conference) – Final Call!**  
 The 22nd Annual Corporate and Regulatory Update (ACRU 2021) of The Hong Kong Institute of Chartered Secretaries (HKICS) will be held online on Friday, 11 June 2021 and registration will close on Saturday, 5 June 2021. It is our privilege to have The Honourable Christopher Hui Ching-yu JP, Secretary for Financial Services and the Treasury, The HKSAR Government, as our Guest of Honour. ACRU 2021 will contain a sharing by the Government of some of the

important initiatives for the promotion of Hong Kong as an international financial centre, as well as providing an update of important emerging regulatory developments, concerns, and enforcement trends. This is the final call!

For details and registration, please click [HERE](#).

**Enforcement Series – Practical Review of Major Enforcement Regimes and Themes (Six Online Webinars)**

CHARTERED SECRETARIES  
特許秘書

- **Competition Law Enforcement**  
30 June 2021
- **SFC Enforcement**  
14 July 2021
- **FRC Enforcement**  
3 August 2021
- **HKEX Enforcement I**  
18 August 2021
- **HKMA Enforcement: Update**  
7 September 2021
- **HKEX Enforcement II**  
28 September 2021

Register Now!

▪ **Enforcement Series – Practical Review of Major Enforcement Regimes and Themes (Six Online Webinars)**

An important regulatory tool for regulators is enforcement. It educates the marketplace that regulators not only have the powers, but more importantly, will exercise them. The governance professional would most certainly not want their organisations, and people associated with them to be at the receiving end of enforcement. This is because being investigated is costly and stressful, and being found in breach carries pecuniary, reputational, and personal repercussions. The Institute is accordingly delighted to package and run a series of enforcement sessions from June to September 2021, with participation by regulators, and seasoned professionals, to provide a review of major enforcement regimes and themes.

Date and Time	Title	Speaker(s)
30 June 2021 4.00 pm–5.30 pm	Competition Law Enforcement <a href="#">Details</a>	<ul style="list-style-type: none"> <li>● Mr Steven Parker, Executive Director (Legal Services), Competition Commission</li> <li>● Mr Stephen Ryan, Head (Legal Advisory), Competition Commission</li> </ul>
14 July 2021 4.00 pm–5.30 pm	SFC Enforcement <a href="#">Details</a>	<ul style="list-style-type: none"> <li>● Mr Alan Linning, Partner, Mayer Brown</li> </ul>
3 August 2021 4.00 pm–5.30 pm	FRC Enforcement <a href="#">Details</a>	<ul style="list-style-type: none"> <li>● Mr Marek Grabowski, Chief Executive Officer and Executive Director, Financial Reporting Council</li> </ul>
18 August 2021 4.00 pm–5.30 pm	HKEX Enforcement (Part 1) <a href="#">Details</a>	<ul style="list-style-type: none"> <li>● Ms Ellie Pang, Chief Executive, The Hong Kong Institute of Chartered Secretaries</li> </ul>

7 September 2021 4.00 pm–5.30 pm	HKMA Enforcement: Update <a href="#">Details</a>	<ul style="list-style-type: none"> <li>Ms Jill Wong, Partner, Howse Williams</li> </ul>
28 September 2021 4.00 pm–5.30 pm	HKEX Enforcement (Part 2) <a href="#">Details</a>	<ul style="list-style-type: none"> <li>Ms Donna Wacker, Partner, Clifford Chance</li> <li>Mr Michael Wang, Consultant, Clifford Chance</li> </ul>

*For details of the six sessions, please click [HERE](#) for the brochure.*

▪ **CSj goes green**

To support the preservation of the environment, eCSj has been made available on the Institute's [website](#) from August 2015 onwards. However, members and graduates can make a one-time selection during May each year for the print version for a year. In the absence of a selection, only eCSj would be provided. Please do the right thing and 'go green'.

*For details, please click [HERE](#).*

▪ **CGQP June 2021 Examinations**

Examination admission slip, together with 'Instructions for Candidates' and examination information packs, are now available for download from the student login area of the Institute's website. All candidates are reminded to follow the pandemic precautionary measures before entering the examination centres. Log into your account on the Institute's website and check your inbox to retrieve these documents. The Institute wishes all candidates every success in the examinations.

*For details, please click [HERE](#).*

*For enquiries, please contact Leaf Tai: 2830 6010, or email: [student@hkics.org.hk](mailto:student@hkics.org.hk).*

▪ **New policy on studentship renewal and registration (effective from 1 July 2021)**

The following policies on studentship renewal and registration were approved by the Council with the aim of streamlining the process of studentship renewal and registration. These policies will take effect from 2021/2022 onwards:

The studentship expiry date for all students will be unified and will now fall on the last day of the Institute's financial term each year, on 30 June. During the transition period in year 2021/2022, all students will be given a three-month period to settle their renewal fee, on a pro-rated basis, based on their current studentship expiry month.

*For details of student renewal fee and notes, please click [HERE](#).*

Studentship for those who register/re-register from year 2021/2022 onwards will expire on 30 June of the following year, irrespective of the confirmation date of their studentship during the year. Applicants are required to pay the set studentship registration/re-registration fee upon application.

*For details of application fee and notes, please click [HERE](#).*

▪ **New Policy on CGQP exemption application (effective from 1 July 2021)**

The CGQP exemption application policy will be effective from 1 July 2021 onwards.

*For details, please click [HERE](#).*

**CHARTERED SECRETARIES**  
特許秘書

**The Hong Kong Institute of Chartered Secretaries**

## Corporate Governance Paper Competition and Presentation Awards 2021

The Annual Corporate Governance Paper Competition and Presentation Awards organised by The Hong Kong Institute of Chartered Secretaries aims at promoting the importance of good governance among local undergraduates and providing them with an opportunity to research, write and present their findings and opinions on the selected theme.

**Theme** Is it possible to tie governance with a sense of purpose given the myriad of stakeholders' interests?

**Awards**

- Best Paper HK\$11,000
- Best Presentation HK\$6,000
- Audience's Favourite Team HK\$2,000

*... and more prizes*

**Enrol now!**

Local undergraduates of all disciplines in Hong Kong are eligible to enrol for this competition in a team of two to four members.

Enrolment deadline	Friday 25 June 2021
Paper submission deadline	Saturday 31 July 2021
Presentation Competition (for the six finalist teams)	Saturday 9 October 2021

For enquiries, please contact Lily Or: 2830 6039 or email: [student@hkics.org.hk](mailto:student@hkics.org.hk)

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The Hong Kong Institute of Chartered Secretaries 香港特許秘書公會 (Incorporated in Hong Kong with limited liability by guarantee)    [www.hkics.org.hk](http://www.hkics.org.hk)

- **Corporate Governance Paper Competition and Presentation Awards 2021**  
HKICS Corporate Governance Paper Competition 2021 is now open for enrolment!

The Corporate Governance Paper Competition and Presentation Awards (the competition), organised by the Institute aims to promote the importance of governance among local undergraduates. It provides them with an opportunity to research, write and present their findings and opinions on a selected theme. The topic of this year entices applicants to evaluate the question – ‘Is it possible to tie governance with a sense of purpose given the myriad of stakeholders’ interests?’

Undergraduates of all disciplines in Hong Kong are eligible to enrol for this competition in teams of two to a maximum of four members. The six shortlisted finalists will present their papers on Saturday 9 October 2021 to compete for the Audience’s Favourite Team and the Best Presenter Award.

For details, please click [HERE](#).

- **HKICS review report - ‘Missing Opportunities? A Review of Gender Diversity on Hong Kong Boards’**  
The Institute has published the Chinese version of the review report - ‘Missing Opportunities? A Review of Gender Diversity on Hong Kong Boards’. The report illustrates the inadequate representation of women on Hong Kong boards of listed companies. It calls for the regulatory

imposition of a 30% target for women on boards over a six-year period. The target will be voluntary, but only in the sense that it will be subjected to a 'comply or explain' regime.

To view the Chinese version of the review report, please click [HERE](#).

- **HKICS Guidance Note update**

The Institute has published the sixth issue of Mergers and Acquisitions Guidance Note. This guidance note revisits the situation with split signing and closing, which is fairly common in larger mergers and acquisitions transactions. It walks the governance professional through the key aspects in relation to the signing stage to facilitate a smooth signing process.

To view the Mergers and Acquisitions Guidance Note, please click [HERE](#).

## FORTHCOMING ACTIVITIES

### Professional Development:

- **Creating Long-Term Value Through a Robust Whistleblower Framework (Webinar)**  
Proactive identification of risks is a key to ensuring that businesses are best positioned to create long-term value, and to mitigate potential regulatory investigations that could adversely affect reputation, along with business operations and growth. In this connection, whistleblowing is an important tool, which is being given regulatory prominence by the Exchange under imminent changes to the Corporate Governance Code.

In this webinar, to be held on 17 June 2021, Ms Kareena Teh, Partner, LC Lawyers LLP will discuss the importance of a robust whistleblower framework and highlights practical steps for implementation.

For details and registration, please click [HERE](#).

- **Upcoming ECPD webinars/seminars are available for enrolment**  
[ENROL](#)
- **Register for video-recorded CPD seminars**  
[ENROL](#)

### Membership:

Date	Event Name	Enrolment
17 June 2021	Email scams, phone frauds & fund recovery actions (Cantonese session) (Free webinar)	<a href="#">Enrol</a>
9 July 2021	Easy recycling tips to save the environment and money (Cantonese session) (Free webinar)	<a href="#">Enrol</a>
31 July 2021	Fun & Interest Group – Perfume making workshop (Cantonese session) (Admission fee is required)	<a href="#">Enrol</a>
7 August and 14 August 2021	Full body workout for office workers (Cantonese session) (Free webinar)	<a href="#">Enrol</a>

For enquiries, please contact Vicky Lui: 2830 6088, or email: [member@hkics.org.hk](mailto:member@hkics.org.hk).

### Studentship:

Date	Event Name	Enrolment
9 June 2021	Student Ambassadors Programme: Purposeful governance – A stakeholder responsive approach (English session) (Free webinar)	<a href="#">Enrol</a>

For enquiries, please contact Lily Or: 2830 6039, or email: [student@hkics.org.hk](mailto:student@hkics.org.hk).

## REMINDERS

- **Studentship renewal fee**  
Students whose studentship expired in April 2021 are reminded to settle their renewal payments by Wednesday 23 June 2021. Failure to settle the renewal payment by the deadline will result in the removal of studentship.

- **Fellowship and associateship application deadlines in 2021:**

Application deadline	Approval date
Friday 30 July	Thursday 2 September
Friday 8 October	Thursday 18 November

- **Application for concessionary subscription rate for 2021/2022 – Deadline: 31 May 2021!**  
The Institute continues to offer concessionary subscription rates to members who satisfy the necessary criteria. Online application for the concessionary subscription is now available.

For details, please click [HERE](#).

- **CPD declaration**  
All members and graduates are required to declare the fulfilment of their CPD requirements online by 31 July 2021. This will require 15 CPD hours in total, of which 3 ECPD hours should be from the Institute's ECPD designated courses and activities for the 2020/2021 CPD year (i.e. 1 July 2020 to 30 June 2021).  
[ONLINE DECLARATION](#)
- **CPD policy**  
[MORE](#)
- **Consequences of CPD non-compliance**  
[MORE](#)
- **Individual package 2020/2021**  
[APPLY](#)
- **Corporate package 2020/2021**  
[APPLY](#)
- **Practitioner's Endorsement application 2020/2021**  
[APPLY](#)
- **Fee structure 2020/2021**  
[Membership, Graduateship and Affiliated Person fee schedule](#)  
[Studentship fee schedule](#)
- **Fellowship benefits factsheet**  
[MORE](#)
- **Benefits and services for members, graduates and students**  
[MORE](#)
- **New Fast Track Professional route to CGI and Institute membership**  
From 1 January 2021, a new Fast Track Professional route has become available for qualified

lawyers and accountants (including those recognised by The Chartered Governance Institute and its Divisions in other jurisdictions) who wish to become Chartered Secretaries and Chartered Governance Professionals. The recorded video of the introduction session for the Fast Track Professional route is now available on the Institute's website.

For details, please click [HERE](#).

▪ **Postgraduate Programme in Corporate Governance in the Mainland**

The Open University of Hong Kong (OUHK) has launched the Postgraduate Programme in Corporate Governance (PGPCG) in Shanghai and Shenzhen as from 2016 and 2019 respectively. Students who have completed the PGPCG and obtained the OUHK Master of Corporate Governance degree are eligible to apply for full exemption under the Institute's Chartered Governance Qualifying Programme (CGQP). The forthcoming cohort of PGPCG is expected to commence in Shanghai and Shenzhen this Autumn. Further details of the Shanghai programme will be advised in due course.

The relevant contact persons for the programmes are set out below:

For PGPCG in Shenzhen: Shenzhen Campus, Harbin Institute of Technology 哈尔滨工业大学深圳研究院	Contact person: Ms Wang Yuan (王媛) Tel: (86) 0755-26727130/7110
<i>For details, please click <a href="#">HERE</a>.</i>	
For PGPCG in Shanghai: Eastern China University of Science and Technology 上海华东理工大学	Contact person: Mr Kong Wei (孔巍) Tel: (86) 021-6425 1139
<i>For details, please click <a href="#">HERE</a>.</i>	

▪ **Update of CGQP syllabus and recommended study materials**

The updated syllabus and recommended study materials are now available online.

For details, please click [HERE](#).

▪ **Learning support for CGQP examinations preparation**

Videos of the student gatherings for CGQP examinations preparation are available on the Institute's website under the Studentship Section.

To view the videos, please click [HERE](#).

Videos of the examination technique workshops and student seminars are available on the Institute's website under the Studentship Section.

To subscribe the video, please click [HERE](#).

CGQP past papers and online study materials are available on the Institute's website and the PrimeLaw online platform respectively. Additional recommended readings are available on the PrimeLaw online platform, the complimentary one-month trial period ends on 6 June 2021.

For questions regarding the past papers, please contact the Education and Examinations Section: 2881 6177, or email: [student@hkics.org.hk](mailto:student@hkics.org.hk). For technical questions regarding the PrimeLaw account, please contact Wolters Kluwer Hong Kong's customer service: [hkics@wolterskluwer.com](mailto:hkics@wolterskluwer.com).

For details, please click [HERE](#).

Company Name	Position
Computershare	<a href="#">AVP/VP, Client Development, Governance Services</a>
Governance Recruitment International	<a href="#">Director (or Manager), Governance</a>
Progress Holdings Ltd	<a href="#">Company Secretarial Assistant/Officer</a>
Sterling Private Management Ltd	<a href="#">Company Secretarial Officer/Trust Administrator</a>
Shenzhen International Holdings Ltd	<a href="#">Company Secretarial Officer</a>
Hongkong Land Group Ltd	<a href="#">Assistant Company Secretarial Manager</a>
The Hong Kong Institute of Chartered Secretaries	<a href="#">Company Secretarial Assistant (Ref: CSA2021-05)</a>

If you are looking for career opportunities, please visit [Featured Job Openings](#) online for the latest update.

If you are hiring, please click [HERE](#) for details.

## OTHER NEWS AND ACTIVITIES

### ■ Bulletin Board Updates (BBUs)

[BBU No. 492 \(2021\)](#) – DoJ news update: HKSAR and Mainland sign record of meeting concerning mutual recognition of and assistance to insolvency proceedings

### ■ Smoke-free Sportswear Day

Hong Kong Council on Smoking and Health (COSH) was established to protect and improve the health of the community. COSH has launched a Smoke-free Publicity Programme with the theme of 'Get Set · Go Smoke-free' from 17 May 2021 to 30 June 2021. On 31 May 2021, supporters of COSH are invited to participate on 'Smoke-free Sportswear Day', by wearing sportswear and distributing smoke-free promotion collaterals to promote a healthy lifestyle.

For details and registration, please click [HERE](#).

### ■ Join our Online Community

We are on [Facebook](#), [Twitter](#), [Weibo](#), [Instagram](#), [LinkedIn](#), and WeChat. Follow us and give us your 'Likes'!

#### The Hong Kong Institute of Chartered Secretaries

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[HKICS Website](#)



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