

Institute News

Ms Suen Pui Yee Samantha FCG HKFCG awarded the HKCGI Prize 2022

The Institute is delighted to announce the award of The Hong Kong Chartered Governance Institute Prize (HKCGI Prize) 2022 to Ms Suen Pui Yee Samantha FCG HKFCG in recognition of her significant contributions to the Institute's development and representation of governance professionals over a substantial period. The HKCGI Prize was first presented in 2010, a Judging Panel comprising past presidents and current Council members determines the award of this highest honour of peer governance professional recognition.

To view the press release in English, please click [HERE](#).

To view the press release in Traditional Chinese, please click [HERE](#).

To view the press release in Simplified Chinese, please click [HERE](#).

Routes to Institute's Membership 2023

CHARTERED
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Your Roadmap to a Successful Career



Join us today and learn the different routes to our Membership.

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ESG Reporting Certification Course 2023 (ESG Certification Course)

Overview
The Institute is a recognised thought leader in governance reporting. Obtaining the Institute's ESG Reporting Certification means you stand apart from your peers by having comprehensive ESG reporting understanding and competencies.

Attend the Institute's 'ESG Reporting Certification Course' to gain knowledge from ESG experts including accounting and reporting professionals.

7 sessions, two hours each, with recorded sessions available for each participant after the live seminar. Multiple-choice tests after each session with unlimited attempts within a stipulated deadline.

A Certificate that Matters
Attendees of the 'ESG Certification Course' who successfully passed a final assessment consisting of multiple-choice questions will be accredited for two years, and will also be:

- Permitted to use the post-nominal, 'HKCGI Cert: ESG' during the accreditation period.
- The names and post-nominals of those who have successfully obtained the certification will be listed on the Institute's designated webpage for prospective employers and public searches

There will be a maximum of two intakes per year and a refresher course every two years for extended accreditation. The 'ESG Certification Course' counts toward ECPD hours for Institute members.


Topics covered
In line with Listing Rules requirements, the 'ESG Certification Course' will cover:

- Introduction to HKEG's ESG Reporting Guide
- Directors' duties in ESG & climate reporting
- Governance structure for ESG and climate-related disclosures
- Setting strategy, materiality assessment, and identifying risks and opportunities
- Setting metrics and targets
- ESG and climate-related disclosures
- Data collection, report drafting, timeline
- Workshops - ESG materiality assessment, SDGs mapping, sector specific KPIs and alignment with international standards

Entry Requirements
Members, graduates and students of the Institute are welcome to enrol. Other interested individuals should hold a bachelor's degree awarded by a recognised university

Please contact the Institute's Professional Development Section at 2881 6177 or email: cpd@hkcg.org.hk. For details, please visit the Institute's website: www.hkcg.org.hk.

Register Now



The Hong Kong Chartered Governance Institute 香港公司治理公會 (Incorporated in Hong Kong with limited liability by guarantee)

HKCGI – ESG Reporting Certification Course 2023 (7 Online Sessions) (February to April 2023)

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Supporting Organisation
HKEX
香港交易所

Director training – a focus on INEDs

Session Two – Tackling Current Governance and Regulatory Issues

Date: 17 February 2023 **Time:** 4.00pm – 6.00pm

Directors cannot be expected to be an expert in all matters but they need to have a thorough understanding of the issues being discussed at board meetings. Whilst entitled to information from management, they must not solely rely on what is volunteered by the management. They are expected to perform due diligence, exercise independent judgment and where further information or support is required, seek help from company secretaries.

In Session Two of the Director Training series, senior regulators, an experienced INED and former regulator, an in-house lawyer and a practitioner will advise you on how to tackle the most common regulatory and governance issues.

(In order of appearance)

- Opening Address (10 mins)**
Mr David Simmonds FCG HKFCG
Vice President and Chairman, Membership Committee, HKCGI; Chief Strategy, Sustainability & Governance Officer, CLP Holdings Ltd
- Keynote Address
Regulatory issues and policy trends (20 mins)**
Ms Christine Kan
Managing Director, Head of Listed Issuer Regulation, Listing Division, HKEX
- A Chairman's perspective -
Traversing slippery slopes near you (15 mins)**
Mr David Gordon Eldon GBS CBE JP
Deputy Chairman, The Hongkong and Shanghai Banking Corporation Ltd
- Relevant Listing Rules (15 mins)**
Mr Joe Fan
Vice President, Listed Issuer Regulation, Listing Division, HKEX
- Panel Discussion and Q & A (60 mins)**
Moderator
Mr David Simmonds FCG HKFCG
Ms Christine Kan
Mr David Gordon Eldon GBS CBE JP
Mr Frank Yuen FCG HKFCG
Group General Counsel and Head of Compliance, CK Hutchison Holdings Ltd
Mr John MM Williamson FHKSI
Independent Non-Executive Director, London Metal Exchange and Pacific Basin Shipping Limited; Chairman, UK Tote Group
Ms Melissa Fung
Risk Advisory Southern Region Lead Partner, Deloitte China

CPD Accreditation:
HKCGI: 2 ECPO points, The Law Society of Hong Kong: 2 CPD points
For enquiries, please contact the Institute's Professional Development Section: 2881 6177, or email: cpd@hkcg.org.hk. For registration, please visit: www.hkcg.org.hk/professional-development/forthcoming-ccpd-seminar.
Physical state and listed while attendance is subject to the social distancing rules in place at the time of the session.
The Institute reserves the right to change the above session, time, personnel and time, if necessary.

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Issue 10 • January 2023

HKCGI Ethics, Bribery and Corruption Guidance Note (Tenth Issue) - Anti-corruption Policies and Ethics Training Disclosure in Environmental, Social and Governance Report (Part 1)

This guidance note refers to the Stock Exchange of Hong Kong Limited's (the Exchange's) review of issuers' environmental, social and governance (ESG) disclosures. Specifically, in relation to the new social key performance indicator (KPI) for anti-corruption training provided to directors and staff being lower-than-average reporting level. This guidance note offers guidance and governance best practice for complying with the 'comply or explain' requirement.

Introduction

In November 2022, the Stock Exchange of Hong Kong Limited (the Exchange) released the findings of its latest review of issuers' environmental, social and governance (ESG) disclosures according to requirements set out in its ESG Reporting Guide.

The review analysed reports for the financial year ended 30 June 2021, 31 December 2021 and 31 March 2022 published by a sample of 400 issuers. It shows that a vast majority of sample issuers disclosed their boards' oversight and management approach on ESG issues such as climate-related risks and mitigation measures, reporting on greenhouse gas emissions, etc., indicating a significant improvement in board's governance over ESG issues as compared to the last review in 2019.

However, regarding the new social key performance indicators (KPIs) which came into effect in July 2020, the review points out that amongst other new KPIs introduced, the one regarding anti-corruption training provided to directors and staff is among those with lower-than-average reporting level, most likely because

Gratitudo is expressed to the Hong Kong Business Ethics Development Centre (HKBEDC) of the Independent Commission Against Corruption (ICAC) as author of this guidance note. The members of the Institute's Ethics, Bribery and Corruption Interest Group are: Dr Brian Lo FCG HKFCG (Chairman), Jeremy Birch, Mary Lutz, Michael Chan, Ralph Sellar and William Lam ACG HKACG, Mohan Duttani FCG HKCGPCE, Institute Deputy Chief Executives serves as Secretary to the Institute's Interest Groups. If you have any comments and/or suggestions relating to the Institute's Interest Groups, he can be contacted at: mo@as.dohem@hkg.org.hk.

HKCGI Ethics, Bribery and Corruption Guidance Note (Tenth Issue) - Anti-corruption Policies and Ethics Training Disclosure in Environmental, Social and Governance Report (Part 1)

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Issue 11 • January 2023

HKCGI Ethics, Bribery and Corruption Guidance Note (Eleventh) - Anti-corruption Policies and Ethics Training Disclosure in Environmental, Social and Governance Report (Part 2)

This guidance note refers to the Stock Exchange of Hong Kong Limited's (the Exchange's) review of issuers' environmental, social and governance (ESG) disclosures. Specifically, in relation to the new social key performance indicator (KPI) for anti-corruption training provided to directors and staff being lower-than-average reporting level. This guidance note offers guidance and governance best practice for complying with the 'comply or explain' requirement.

Introduction

In November 2022, the Stock Exchange of Hong Kong Limited (the Exchange) released the findings of its latest review of issuers' environmental, social

and governance (ESG) disclosures according to requirements set out in its ESG Reporting Guide, in relation to the new social key performance indicator (KPI) for anti-corruption training provided to directors and staff being lower-than-average reporting level. This guidance note continues with the guidance and governance best practice for complying with the 'comply or explain' requirement, specifically on the important issues relating to whistle-blowing and ethics training.

Whistle-blowing policy¹

The core elements of the whistle-blowing policy include:

1. D.2.6. Appendix 14 Listing Rules: "The issuer should establish a whistleblowing policy and system for employees and those who deal with the issuer to, caterers and suppliers to raise concerns, in confidence and anonymity, with the audit committee or any designated committee concerning a variety of independent non-financial disclosures about possible irregularities in any matter related to the issuer."
Also, D.3.7. Appendix 14 Listing Rules: "The terms of reference of the audit committee should also require it to review or engage a member of the issuer or any other independent non-financial disclosures about possible irregularities in financial reporting, internal control or other matters. The audit committee should ensure that proper arrangements are in place for fair and independent investigation of irregularities and for appropriate follow-up actions."

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Forthcoming Activities

Professional Development

10/2/2023

**Company secretarial practical training series –
Continuing obligations of listed companies –
Practice and application**

Enrol

16/2/2023

New share scheme regime

Enrol

22/2/2023

**CSP training series – How to serve the board better
(Session two – Board dynamics at meetings)**

Enrol

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Full list of upcoming ECPD webinars/seminars available for enrolment

[ENROL](#)

Register for ECPD Videos on demand

[ENROL](#)

Membership

25/2/2023

Moving-sand art workshop (流沙畫)

Enrol

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Studentship

22/2/2023

Student Ambassadors Programme 2022/2023 – Experience sharing on internship and workplace etiquette (Free webinar)

(By invitation only)

15/3/2023

Student Gathering (2nd session) – Sharing from outstanding students in the CGQP examinations (Free webinar)

[Enrol](#)

[Contact us](#) >

Enrolment of Examination of the June 2023 examination diet

The June 2023 examination diet of the CGQP will open for enrolment from 1 February to 27 March 2023. All examination enrolments must be made online via the Login area of the Institute's website.

For details of the Examination timetable and the Key dates, please click [HERE](#).

Update of the CGQP Study Materials and Syllabus

The online study materials of the following CGQP modules have been updated:

- Boardroom Dynamics (Hong Kong supplement)
- Corporate Governance (Hong Kong supplement)
- Corporate Secretaryship and Compliance
- Risk Management (Hong Kong supplement)

The syllabus of the following CGQP modules, which will be incorporated into the examinations from the June 2023 examination diet and onwards, have been updated:

- Hong Kong Company Law
- Hong Kong Taxation

For details, please click [HERE](#).

CGQP examination technique workshops

The examination technique workshops for the CGQP June 2023 examinations will be held online between late March and April 2023, and the workshops are set in two parts. In part one, students will attend a two-hour online workshop and receive one take-home mock examination paper. In part two, students who have attended and submitted their answers to the mock examination paper will receive feedback and further guidance. The enrolment period is from 1 February to 27 March 2023.

For details, please keep abreast of the Institute's website [HERE](#).

HKU SPACE CGQP Examination Preparatory Programme – Spring 2023 intake

HKU SPACE has been endorsed by the Institute to organise the CGQP Examination Preparatory Programme, which helps students to prepare for the CGQP examinations. One assignment and one take-home mock examination will be provided to students. There are 36 contact hours for each module, except for Hong Kong Company Law, which has 45 contact hours. The Spring 2023 intake will commence on 16 February 2023.

For details, please contact HKU SPACE: 2867 8485, or email: hkcgi@hkuspace.hku.hk.

[See all activities](#)

Reminders

New fee structure for studentship and related fees

The increment of studentship and related fees for registration, re-registration, examination and exemption takes effect from 1 January 2023.

For details, please click [HERE](#).

Update of CPD policy (effective from 1 July 2022)

Against the backdrop of the much-expanded ECPD offerings from the Institute and the increasing need for quality and relevant training to maintain and enhance members' professional standards, the Institute has implemented certain changes to the ECPD related provisions in our CPD Policy effective from 1 July 2022.

More >

Individual Package 2022/2023

Apply >

Corporate Package 2022/2023

Apply >

Practitioner's Endorsement application 2022/2023

Apply >

Fee structure 2022/2023

[Membership, graduateship and Affiliated Person fee schedule](#)
[Studentship fee schedule \(effective from 1 January 2023\)](#)



Application deadlines in 2023

[Associateship application deadline](#)

[Fellowship application deadline](#)

Benefits and services for members, graduates and students

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Special discounts and benefits offered by external merchants

New offers are available for members, graduates and students. Please click below to find out.

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Featured Job Openings

Company Secretarial Officer

CK Hutchison Holdings Limited

[Details](#) >

Corporate Secretarial Manager / Assistant Manager

Mazars CPA Limited

[Details](#) >

If you are hiring, please click [HERE](#) for details.

Other News and Activities

Bulletin Board Updates (BBUs)

[BBU No. 3 \(2023\)](#) – CR News Update: United Nations Security Council Sanctions Against ISIL and Al-Qaida

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