

## CANDIDATES FOR ELECTION TO THE 2024 COUNCIL



**Tom SL CHAU FCG HKFCG**

Mr. Tom Chau is a HK and UK qualified solicitor. He joined Haiwen & Partners LLP in 2023 and is currently a Partner of Haiwen. Mr. Chau has been with Herbert Smith Freehills for over 20 years and was a Partner of Corporate in Herbert Smith Freehills Beijing/Hong Kong. He specialises in capital markets deals, M&A, corporate restructuring, securities' issuing, IPOs and corporate governance.

Mr. Chau has completed more than 50 IPOs and is now regularly advising more than 20 listed companies on compliance and regulatory matters. In addition, he has given presentations to various PRC agencies, government departments, academic institutions, and HKEX on the legal issues relating to listings in Hong Kong.

Mr. Chau has been a Council member of HKCGI since 2021 and the Vice-Chairman of the Qualifications (previously, Education) Committee since 2022. He is currently a member of the Mainland China Affairs Committee and a Vice-Convenor of the Mainland China Technical Consultation Panel of HKCGI.

Mr. Chau has actively participated in the Institute's activities since 2005 and has been the presenter of the Institute's AP ECPD seminars since 2008. He led his team in assisting the Institute to conduct a survey on the compliance issues in relation to "Shanghai-HK Connect" and "Shenzhen-HK Connect" while the research spent 17 months. He was also one of contributors of another research project of the Institute, namely, Amendments of the Mandatory Provisions for Articles of Associations of Companies Seeking for Listing Overseas.

Mr. Chau has been an adjunct associate professor of the Master of Finance program of The Chinese University of Hong Kong and is currently a director of the Research Centre of International Financing & M&A of Zhejiang University. He graduated from the University of Hong Kong with B.A. degree and PCLL.

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### **Ivy YY CHOW FCG HKFCG(PE)**

Ms. Ivy Chow's journey in chartered governance profession has been marked by a series of milestones and accomplishments that have shaped her expertise. Ivy is a Chartered Secretary and Chartered Governance Professional.

During her time with professional firms for more than 25 years, Ivy has served clients in various sectors including financial services; consumer products and industrial manufacturing, retail and logistics; medical; media and education; real estate industries as well as government and non-profit making enterprises. Ivy is familiar with the listing rules of The Stock Exchange of Hong Kong Limited (SEHK), the Companies Ordinance as well as other ordinances and regulations relevant to company secretarial and corporate governance professionals for both local and common offshore entities. Ivy is a Director of Corporate Services of PwC Corporate Services Limited and has been providing corporate services to Hong Kong listed companies as well as multinational, private and offshore entities.

Ivy has been appointed to act as named company secretary of companies listed on SEHK, and acted as named company secretaries of and provided company secretarial support to several companies incorporated in various jurisdictions including Australia, Bermuda, Cayman Islands, Hong Kong, Luxembourg and the PRC whose shares were listed on the main board and GEM of SEHK.

Ivy is a Fellow of The Hong Kong Chartered Governance Institute (HKCGI), a Fellow of The Chartered Governance Institute and an Ordinary Member of the Hong Kong Securities and Investment Institute. She is currently a Member of the Professional Services Panel of HKCGI and a holder of Practitioner's Endorsement from HKCGI. Ivy obtained a Bachelor of Arts degree (Hons) in Business Studies from The Hong Kong Polytechnic University.

Ivy is passionate and committed to making more contributions to promote the chartered governance profession.

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### **David YH FU FCG HKFCG(PE)**

Mr. David Fu has been Group Company Secretary of Hong Kong Exchanges and Clearing Limited (HKEX) (stock code: 388) since 2020. He is responsible for the full spectrum of the HKEX Group's company secretarial functions. He was previously Company Secretary of Swire Pacific Limited (stock code: 19), Swire Properties Limited (stock code: 1972) and Cathay Pacific Airways Limited (stock code: 293) until 2020.

Mr. Fu was the President of The Hong Kong Chartered Governance Institute (HKCGI) from 2018 to 2019 and a Council Member of HKCGI from 2014 to 2022. He has been the Honorary Secretary of The Oxford and Cambridge Society of Hong Kong since 1999.

Mr. Fu was a member of the Takeovers and Mergers Panel and the Takeovers Appeal Committee of the Securities and Futures Commission from 2015 to 2020 and a member of the Standing Committee on Company Law Reform from 2017 to 2020.

Mr. Fu graduated from the University of Oxford in 1988 with a Bachelor of Arts degree in Engineering, Economics and Management and obtained a Master of Arts degree from the University of Oxford in 1991. He also holds a Postgraduate Diploma in Corporate Administration from the City University of Hong Kong.

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**Stella SM LO FCG(CS, CGP) HKFCG(CS, CGP)(PE)**

Ms. Stella Lo was admitted as a Fellow of The Hong Kong Chartered Governance Institute (HKCGI) and The Chartered Governance Institute in 2011. She is currently a member of the Qualifications Committee (formerly known as the Education Committee) of HKCGI. Ms. Lo was first elected to HKCGI Council in 2016 and stepped down at the end of 2022 to fulfil the gap year requirement under the Institute's constitution.

During the term of office, Ms. Lo also assumed the roles of Chair/Vice-Chair of the Qualifications Committee, Membership Committee and Professional Development Committee and a member of the Human Resources Committee of HKCGI. She was a member of the Technical Consultation Panel of the Institute. She also represented HKCGI in the Hong Kong Council of Volunteering.

Ms. Lo has been participating actively in the HKCGI activities and served as a link between members and the Institute to enhance mutual understanding and to allow the Institute to better serve the needs of Institute members.

Ms. Lo is currently the Group Company Secretary of Guoco Group Limited (Stock code: 53), responsible for overseeing a full spectrum of the group's company secretarial, corporate governance, ESG reporting and corporate communication functions as well as being the advisor on secretarial, regulatory and corporate governance matters for other affiliated companies of the enlarged group. She was previously the Company Secretary and the Associate Director of a local listed company, and before that she worked with the secretarial division of the Tricor Group. She possesses in-depth company secretarial knowledge and solid experience in corporate transactions, corporate governance matters as well as ESG reporting of listed companies.

Ms. Lo holds a Bachelor of Laws (LLB) degree from the University of London and obtained a Master of Finance degree from the Royal Melbourne Institute of Technology University. She graduated from the Hong Kong Polytechnic University with a Professional Diploma in Company Secretaryship and Administration.

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### **David J SIMMONDS FCG HKFCG**

Mr. David Simmonds is the Chief Strategy, Sustainability and Governance Officer of CLP Holdings Limited. He is responsible for CLP Group strategic planning and the strategy agenda as well as driving the Group's sustainability agenda and ensuring that it is embedded into the business.

He is also responsible for managing the investments and ventures that help support CLP's growth strategy, the Group's investments in South East Asia and Taiwan, and the Group's property development activities.

In addition, Mr. Simmonds is the Group General Counsel and Company Secretary of CLP Holdings, responsible for the provision of legal, corporate secretarial and insurance services across the Group.

Mr. Simmonds has been a Vice-President of The Hong Kong Chartered Governance Institute (HKCGI) since 2019, Chairman of the Membership Committee since 2020, Chairman of Governance Professionals (previously, Company Secretaries) Panel since 2019, Chairman of the Competition Law Interest Group under the Technical Consultation Panel since 2016 and a member of the Investment Strategy Task Force since 2020. He has also been a speaker at a number of HKCGI seminars.

Mr. Simmonds is also a Member of the Standing Committee on Company Law Reform, a Director of The Australian Chamber of Commerce in Hong Kong and Macau, and a Member of The Asia Society. He holds a Bachelor of Laws (Honours) and a Bachelor of Commerce from the University of Melbourne.

## CANDIDATES FOR ELECTION TO THE 2024 COUNCIL



### **Patrick HK SUNG FCG HKFCG, FCPA (Practising) FCCA, CFA**

Mr. Patrick Sung has over 22 years of experience in audit, accounting and finance, corporate restructuring and management in Hong Kong and the mainland of China. He held senior roles in various group companies listed in Hong Kong.

Patrick started his career in KPMG, a major multinational certified public accountants firm, and possesses extensive experience in acquisition, corporate restructuring and listing projects. He then joined the GDH Group, a state-owned enterprise, in which he was the Executive Director and Chief

Financial Officer of Guangnan (Holdings) Limited (HKEX stock code: 1203), overseeing financial management, legal and compliance, and certain management aspects. Later on, Patrick joined Galaxy Entertainment Group (HKEX stock code: 27) and acted as the Head of Finance of its subsidiary, K. Wah Construction Materials.

Patrick is a Fellow of both The Hong Kong Chartered Governance Institute (HKCGI) and The Chartered Governance Institute. Besides, he is a Fellow of both The Hong Kong Institute of Certified Public Accountants (HKICPA) and the Association of Chartered Certified Accountants (ACCA), and a Chartered Financial Analyst (CFA). He obtained his Bachelor of Business Administration degree in Accounting and Finance from The University of Hong Kong.

As an active participant in HKCGI activities, Patrick has been a member of Education Committee (currently known as Qualifications Committee) and Audit Committee of HKCGI for many years. He is currently the Chairman of the Assessment Review Panel, and has been a mentor of the Governance Professional Mentorship Programme and Student Ambassadors Programme for years, as he is passionate in nurturing young professionals. He was also a member of the Investigation Group. In addition to serving HKCGI, Patrick is the external assessor of the Master of Science in Corporate Governance and Compliance programme of the Hong Kong Baptist University, and he also serves the Professional Development Sub-committee of ACCA Hong Kong.



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### **Flora F WANG FCG HKFCG**

Ms. Flora Wang is the Head of Stewardship, Asia & Portfolio Manager at Fidelity International.

Based in Hong Kong, Flora leads the stewardship and research team covering the Asia ex-Japan markets, working closely with sector analysts and portfolio managers to integrate sustainability considerations into investment decision making and engage investee companies with the aim of promoting sustainable business practices. Before joining Fidelity International, Flora was the head of Investment Stewardship for Greater China at BlackRock. Prior to joining BlackRock, she led the China and Taiwan Research at Institutional Shareholder Services.

Flora is a member of the Advisory Committee of the Accounting and Financial Reporting Council, the independent regulator of the accounting profession in Hong Kong. She also sits on the Public Shareholders Group, an external committee of the Securities and Futures Commission in Hong Kong. Flora is a member of the global Steering Committee of the Climate Action 100+ initiative. She also co-chairs the China Working Group of the Asian Investor Group on Climate Change. Flora is committed to promoting good corporate governance & sustainability practices and has been a keen participant in HKCGI events by providing institutional investors' perspectives, including on issues of diversity, climate change, sustainability and resilience at Institute's signature conferences. Flora has also been a frequent sounding board on regulatory reforms, and issues of international best practices and investor concerns for the Institute's thought leadership and representation of the governance profession.

Flora holds a Master of Science in Finance from the Lee Kong Chain School of Business at Singapore Management University and a Bachelor of Art in Economics from Tsinghua University. Flora is a CFA charter holder, and a Fellow of The Hong Kong Chartered Governance Institute and The Chartered Governance Institute.

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### **Bill WM WANG FCG HKFCG**

Mr. Bill Wang has been a Council Member of The Hong Kong Chartered Governance Institute (HKCGI) since 2021, Vice-Chairman of the Membership Committee since 2022, a Member of the Mainland China Affairs Committee since 2023, a Member of the Technical Consultation Panel (TCP) since 2015 and a Member of the Securities Law and Regulation Interest Group under the TCP since 2016. He was the Chairman of the Professional Development Committee in 2022.

Mr. Wang has participated HKCGI's soft consultations and formal responses to market consultations by various regulators such as the reform initiatives relating to the Listing Rules and its Code of Corporate Governance. He chairs and speaks at various HKCGI CPD seminars on topics relating to Legal, Governance, Compliance and Risk Management with his particular passion in Big Data, RegTech, the future of work and the transformation of governance profession in the new era of corporate governance.

An experienced professional in the fields of corporate governance, legal, compliance and risk management, Mr. Wang served as Executive Vice President and the General Counsel at ICBC Asia and the Company Secretary of Standard Chartered Bank (Hong Kong) Limited. He had the experience in leading Corporate Secretariat teams in Greater China and Asia sub-regions to provide effective corporate governance support and trusted advisory services to the boards, C-suite executives, business leaders and other stakeholders.

In the earlier stage of his professional career after being qualified with New York Bar, Mr. Wang practiced corporate and securities laws with Clifford Chance, Baker McKenzie and Hogan Lovells in New York, London, Hong Kong, Beijing and Shanghai. He was a 1996 graduate of the University of Virginia School of Law in the U.S. and 1990 graduate of the East China University of Political Science and Law in Shanghai.



## CANDIDATE FOR ELECTION TO THE 2024 COUNCIL



### **WEI Fang FCG HKFCG**

Mr. Wei Fang has more than 20 years of experience in corporate governance, board practices and investor relations in Hong Kong and the mainland of China.

Mr. Wei is the Vice President of CNPC Asia Pacific (Hong Kong), one of the international headquarters of China National Petroleum Corporation (CNPC), and concurrently serves as the Chief Representative in Hong Kong of PetroChina Company Limited (PetroChina), the Hong Kong listed subsidiary of CNPC. He is also the Alternate Director and Company Secretary of PetroChina Hong Kong Limited. Mr. Wei is based in Hong Kong

and is responsible for corporate governance, corporate communications, investor relations and ESG. He holds an MBA degree from the University of Houston and a Bachelor of Arts degree from Xi'an Petroleum University.

In 2001, following a number of management positions at the headquarters of CNPC and its overseas subsidiaries, Mr. Wei joined PetroChina and served as the Deputy Chief Representative in Hong Kong, in charge of corporate governance, regulatory communications, and investor relations. In 2010, he was appointed as the Chief Representative in Hong Kong and Alternate Authorized Representative of the Board of Directors of PetroChina. Since 2016, he has been the Company Secretary of PetroChina Hong Kong Limited. He served as the Assistant Secretary to the Board of PetroChina from 2017 to 2022.

Mr. Wei is a Fellow of The Hong Kong Chartered Governance Institute (HKCGI) and The Chartered Governance Institute, and a member of the Mainland China Technical Consultation Panel of HKCGI. He believes in the importance of effective governance and actively contributes his insights on regulatory matters and practical governance issues. Additionally, he serves as a speaker at various HKCGI events and is committed to expanding the influence of HKCGI and the Company Secretary Professional in the mainland of China.

Mr. Wei also holds the professional qualification of Secretary to the Board of Directors from the Shanghai Stock Exchange. He is a member of the Economics Committee of Chinese Petroleum Society, a member of the Hong Kong Institute of Directors, and a Fellow of the Hong Kong Investor Relations Association.

In recognition of his achievements in corporate communications and investor relations, Mr. Wei has on several occasions been awarded the Best Investor Relations Professional in Asia/China by prestigious international organizations, including IR Magazine, Institutional Investor and Corporate Governance Asia.

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### **YANG Liang FCG HKFCG**

Mr. Yang Liang currently serves as the vice president, secretary to the board, company secretary, and authorized representative of Livzon Pharmaceutical Group Inc. (Livzon Group, 000513.SZ, 01513.HK).

In 2006, Mr. Yang graduated from Jinan University with a bachelor's degree in management. In 2008, Mr. Yang obtained a master's degree in business administration from the School of Business Administration at South China University of Technology. He was qualified as a fund practitioner by the Asset Management Association of China and an independent director by the Shenzhen Stock Exchange.

From May 2008 to June 2010, Mr. Yang held positions as strategy promotion supervisor and investment and M&A manager at Zhuhai Wiseman Co., Ltd. From July 2010 to July 2011, Mr. Yang served as the strategic performance supervisor at the enterprise management department of China International Marine Containers (Group) Co., Ltd. (000039.SZ, 02039.HK). In August 2011, Mr. Yang joined the board secretariat of Livzon Group as a senior investment project manager. Since June 2014, Mr. Yang has been serving as the secretary to the board, company secretary, and authorized representative of Livzon Group. Since June 2020, Mr. Yang has been a vice president of Livzon Group.

In November 2012, Mr. Yang obtained the qualification of board secretary from the Shenzhen Stock Exchange. Mr. Yang was an Affiliated Person of The Hong Kong Chartered Governance Institute (HKCGI) in January 2015 and subsequently elected as a Fellow of both The Chartered Governance Institute and HKCGI in March 2022. He is currently a member of the Mainland China Board Secretaries Subcommittee of HKCGI. He has also been a speaker at a number of HKCGI ECPD seminars in the Mainland.

Mr. Yang has been recognized with awards, including 5A Board Secretary at the Performance Evaluation Awards of Public Companies by the China Association for Public Companies in 2022, Outstanding Board Secretary of Listed Companies on the Main Board at the 10th, 11th, and 15th Awards of the Value of Listed Companies in China, Outstanding Board Secretary at the 14th Awards of the Value of Listed Companies in China by the Securities Times, and the 13th to 17th New Fortune Gold Board Secretary, as well as being inducted into the Hall of Fame for the 18th Gold Board Secretaries.

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### **Alban TK YEUNG FCG HKFCG(PE)**



Mr. Alban Yeung has been working in corporate services field, including company secretarial and audit and advisory, for more than 15 years. He is currently a Managing Partner of CWK CPA Limited (“CWK Global”), which is a leading audit firm in Hong Kong with over 100 employees.

Alban leads professional staffs in CWK Global based in Hong Kong, PRC and other regions to deliver an extensive and full spectrum of professional services across IPO consultancy, listed company audits, internal control reviews, ESG reporting and global tax planning.

Prior to joining CWK Global, Alban was a CFO and named Company Secretary and authorised representative and INED of several companies listed on the Hong Kong Stock Exchange and overseas countries. Industries of these companies include property development, manufacturing, IT system implementation and food and beverage.

Alban graduated from the University of New South Wales in Australia and has a master degree of Corporate Governance from the Hong Kong Metropolitan University. He is a Fellow of The Hong Kong Chartered Governance Institute (HKCGI) and The Chartered Governance Institute. He has been a mentor of the Governance Professional Mentorship Programme of HKCGI since 2021 and is a holder of the HKCGI Practitioner’s Endorsement.

Alban has a vision to connect all participants across the Chartered Governance profession. He actively participates in social services and aims to link corporate governance professionals with the social services sector with his oath of “Harmony with Work and Social Responsibility”.

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### **Matthew WH YOUNG FCG HKFCG(PE) HKCGI Cert: ESG**

Mr. Matthew Young currently leads the Corporate Secretarial Department of The Hong Kong Jockey Club and is responsible for the full spectrum of the Club's corporate secretarial functions. He is also the Company Secretary of various subsidiaries and foundations of the Club.

Matthew has over 15 years of working experience in the corporate secretarial field. His secondment to London and a project assignment in Singapore, while working for one of the largest conglomerates in Hong Kong, helped him gain a broad international perspective. He has been providing professional corporate secretarial service and advice to a number of Hong Kong listed companies as well as multinational, public, private, offshore companies and NGOs.

Matthew has been an active member of HKCGI. He is notably the Vice-Chairman of the Qualifications Committee, a Member of Assessment Review Panel, Co-Convener of The NextGen Group, Mentor of the Governance Professional Mentorship Programme and serves as the Institute's representative at the Young Coalition Professional Group of The Hong Kong Coalition of Professional Services. He is also an External Examiner of Bachelor of Laws and Commerce of Hong Kong Shue Yan University, a Committee Member of Business Policy Unit of The British Chamber of Commerce in Hong Kong and a Member of the HKSAR Panel of Film Censorship Advisers. He also serves as a guest lecturer for various universities in Hong Kong on the topic of corporate governance and roles of company secretary.

Being very passionate about sports and charities, Matthew is actively involved in corporate governance while in his capacity as the Company Secretary, Board member and the Chairman of the Risk and Compliance Committee of InspiringHK Sports Foundation. Besides, he is also a Member and Secretary of the Electoral Committee of The Football Association of Hong Kong, China.

Matthew is a Fellow of both HKCGI and The Chartered Governance Institute. He is also a Fellow member of The Royal Society of Arts for the encouragement of social service. He holds a bachelor's degree in Laws from the University of Exeter, a master's degree in Laws from the Chinese University of Hong Kong and completed a management and leadership programme from Harvard Business School. He has an accredited Practitioner's Endorsement and ESG Reporting Certificate by HKCGI.

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### **William WY ZHANG FCG HKFCG, CFA, CIIA, CPA**

Mr. William Zhang obtained his Masters of Laws degree from the Northwestern University, U.S. and the New York University, U.S., and an MBA degree from the Sun Yat-sen University, China. He is a doctorate candidate of law at the University of Hong Kong.

Mr. Zhang has over 20 years of experience in areas of corporate governance, management, law, investment and financing, accounting and taxation. Mr. Zhang is a Chartered Governance Professional and a Chartered Secretary awarded by The Hong Kong Chartered Governance Institute (HKCGI) and The Chartered Governance Institute. He has the designations of CFA and CIIA, a certificate in ESG Investing from the CFA Institute, and the qualifications of board secretary for listed companies from the Shenzhen Stock Exchange, China lawyer (non-practicing), and China CPA (non-practicing). He is admitted to practice law in the State of New York. Mr. Zhang has been awarded the Golden Board Secretary in 2023 by the New Fortune.

Mr. Zhang is the Board Secretary, Vice President and Joint Company Secretary of Tianqi Lithium Corporation (or 'TLC', Stock Code: 002466.SZ; 09696.HK). As one of the leading coordinators of the H-share listing of TLC, Mr. Zhang jointly coordinated the entire process of the company's successful H-share IPO. He is responsible for the daily affairs of the board of directors, corporate governance, securities affairs and information disclosure, investor relations, equity financing, etc. Mr. Zhang also serves as the non-executive chairman of a lithium mining subsidiary of TLC in Australia.

Prior to joining TLC, Mr. Zhang served as the head/managing director of a Chinese financial institute's overseas holding company in Hong Kong, a partner at the Shanghai office of an international law firm as well as relevant positions in other domestic and overseas companies.

Mr. Zhang currently serves as a Vice-Chairman of the Mainland China Board Secretaries Subcommittee and a member of the Mainland China Technical Consultation Panel of HKCGI. He has also been a frequent speaker at a number of HKCGI ECPD seminars in the Mainland. In addition, Mr. Zhang serves as the Director Member Representative of the China Association of Public Companies and a domestic expert in the international standard ISO/TC 309/WG 9 working group.